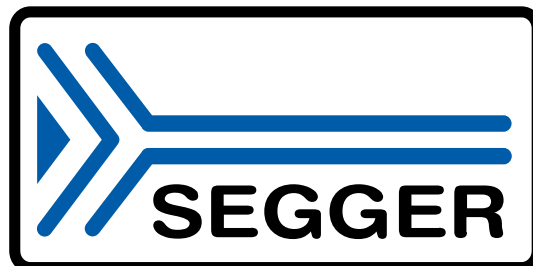


# emCompress-Pro

Professional compression system

User Guide & Reference Manual

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## Manual versions

This manual describes the current software version. If you find an error in the manual or a problem in the software, please inform us and we will try to assist you as soon as possible. Contact us for further information on topics or functions that are not yet documented.

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Software	Date	By	Description
2.20	2026-04-16	MHA	Update to latest software version. Added chapter <i>Supported algorithms</i> . Chapter <i>emCompress-Pro API</i> <ul style="list-style-type: none"> <li>• Added thorough explanation about signalling of end of data.</li> </ul> Chapter <i>Resource requirements</i> <ul style="list-style-type: none"> <li>• Added benchmark results.</li> <li>• Added formulas for estimating dynamic memory requirements.</li> </ul> Chapter <i>Utilities</i> <ul style="list-style-type: none"> <li>• Added chapter about usage of <code>CX_Tool</code> and <code>CX_Optimize</code>.</li> </ul>
2.12	2026-01-01	PC	First release.



# About this document

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## Assumptions

This document assumes that you already have a solid knowledge of the following:

- The software tools used for building your application (assembler, linker, C compiler).
- The C programming language.
- The target processor.
- DOS command line.

## How to use this manual

This manual explains all the functions and macros that the product offers. It assumes you have a working knowledge of the C language. Knowledge of assembly programming is not required.

## Typographic conventions for syntax

This manual uses the following typographic conventions:

Style	Used for
Body	Body text.
<code>Parameter</code>	Parameters in API functions.
<code>Sample</code>	Sample code in program examples.
<code>Sample comment</code>	Comments in program examples.
<code>User Input</code>	Text entered at the keyboard by a user in a session transcript.
<code>Secret Input</code>	Text entered at the keyboard by a user, but not echoed (e.g. password entry), in a session transcript.
<i>Reference</i>	Reference to chapters, sections, tables and figures or other documents.
<b>Emphasis</b>	Very important sections.
<a href="#">SEgger home page</a>	A hyperlink to an external document or web site.



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# Chapter 1

## Introducing emCompress-Pro

---

This section presents an overview of emCompress-Pro, its structure, and its capabilities.

## 1.1 What is emCompress-Pro?

emCompress-Pro is a compression system that is able to reduce the size of data that must be compressed or decompressed by a small target microcontroller. Typical uses of emCompress-Pro are:

- Compress and decompress communication data over a limited-bandwidth link.
- Decompress firmware images that must be dynamically expanded on device reprogramming.
- Decompress configuration bitstreams to program FPGA and CPLD devices.
- Permanent files for embedded web server static content.

Of course, emCompress-Pro is not limited to these applications, it can be used whenever it's beneficial to reduce the size of dynamic or static data.

## 1.2 Features

emCompress-Pro is written in standard ANSI C and can run on virtually any CPU. Here's a list summarizing the main features of emCompress-Pro:

- Clean ISO/ANSI C source code.
- Small decompressor ROM footprint.
- Essentially zero-RAM compression and decompression.
- Easy-to-understand and simple-to-use API.
- Simple configuration.

## 1.3 Package content

emCompress-Pro is provided in source code and contains everything required. The following table shows the content of the emCompress-Pro Package:

<b>Files</b>	<b>Description</b>
Application	Sample application source code.
Config	Configuration header files.
Doc	emCompress-Pro documentation.
COMPRESS	emCompress-Pro source code.
SEGGER	SEGGER software component source code used in emCompress-Pro.
Tool	Supporting applications in binary form.

## 1.4 Sample applications

emCompress-Pro ships with a number of sample applications that show how to integrate compression capability into your application.

The sample applications are:

Application	Description
<code>CX_Compress.c</code>	Compress file using DEFLATE algorithm.
<code>CX-Decompress.c</code>	Decompress file compressed with DEFLATE algorithm.

### 1.4.1 A note on the samples

Each sample that we present in this section is written in a style that makes it easy to describe and that fits comfortably within the margins of printed paper. Therefore, it may well be that you would rewrite the sample to have a slightly different structure that fits better, but please keep in mind that these examples are written with clarity as the prime objective, and to that end we sacrifice some brevity and efficiency.

## 1.5 Recommended project structure

We recommend keeping emCompress-Pro separate from your application files. It is good practice to keep all the program files (including the header files) together in the `COMPRESS` subdirectory of your project's root directory. This practice has the advantage of being very easy to update to newer versions of emCompress-Pro by simply replacing the `COMPRESS` and `SEGGER` directories. Your application files can be stored anywhere.

### Warning

When updating to a newer emCompress-Pro version: as files may have been added, moved or deleted, the project directories may need to be updated accordingly.

### 1.5.1 Include directories

You should make sure that the include path contains the following directories (the order of inclusion is of no importance):

- `Config`
- `COMPRESS`
- `SEGGER`

### Warning

Always make sure that you have only one version of each file!

It is frequently a major problem when updating to a new version of emCompress-Pro if you have old files included and therefore mix different versions. If you keep emCompress-Pro in the directories as suggested (and only in these), this type of problem cannot occur. When updating to a newer version, you should be able to keep your configuration files and leave them unchanged. For safety reasons, we recommend backing up (or at least renaming) the `COMPRESS` directories before updating.

# Chapter 2

## Supported algorithms

---

emCompress-Pro supports four compression algorithms: SMASH-2, DEFLATE, LZPJ and LZMA. These algorithms differ in complexity, achievable compression rate, speed, and resource requirements. The algorithms are composed of several layers, which process data which has passed through other layers. During decompression, data is fed through the layers in reverse order.

### The LZSS base layer

The first layer, on which the four algorithms are based, is the LZSS layer. The LZSS (Lempel–Ziv–Storer–Szymanski) algorithm is an extension of the well-known LZ77 (Lempel–Ziv-1). The LZSS algorithm scans the data for repeated blocks. When it finds a repetition of a block, it inserts a reference to the block, containing the offset and the length of the block. Block references are only inserted if the net length of the reference is smaller than the uncompressed equivalent. For example, to insert a reference to a two character long block at a large distance may require more space than simply inserting the two characters as literals. The LZSS layer consumes the raw data and at each step, reports to the next layer whether literal data or a reference to a previously seen block should be inserted.

The LZSS algorithm can be controlled by several parameters, whose exact ranges depend on the layers on top of the LZSS algorithm which handle the encoding of the LZSS tokens into a stream.

- **Window size:** The window size determines the context size which can be used to look for matching blocks which can be replaced by references.
- **Optimization level:** The LZSS algorithm curates a hash based with linked listed which it uses to find blocks which it can reference. The optimization parameter determines how far back through the linked lists the algorithm shall search for a suitable match.
- **Hash table size:** The hash table defines the entry point to the linked list of matches with the same hash. The size of the hash table provides a trade-off between speed and memory requirements: When the hash table is small, collisions are more likely and therefore the linked lists behind each hash table entry will become longer, and the search for a match will take longer. At low optimization levels and large window sizes, the lists will only be partially searched. When the table is larger, it consumes more memory. However, matches can potentially be spread more evenly, resulting in a shorter average linked list which needs to be searched for each hit in the hash map.
- **Minimum and maximum match length:** The minimum length a matching block needs to have before it is considered to be inserted as a reference is defined by the algorithm running on top of LZSS. The lower limit is usually determined by the expected size of encoding of the reference versus literal data. The upper limit is determined by the maximum match length which the bitstream can encode.

Parameter	LZPJ	DEFLATE	LZMA	SMASH-2
Optimization	0 - 10	0 - 10	0 - 10	0 - 10
Window size	16 - 32768	16 - 32768	1 - 16777216	256 - 16384
Minimum match length	3 - 258	3 - 258	2 - 273	2 - 258
Maximum match length	18 - 258	18 - 258	2 - 273	2 - 258

Additional requirements:

- LZPJ, DEFLATE, SMASH-2: The minimum match length must be smaller than the maximum match length.
- LZMA: The minimum match length must be smaller or equal to the maximum match length.
- SMASH-2: The window size must be a power of two.
- SMASH-2, LZPJ: The window size must be larger than the minimum match length.

The size of the hash map is configured at compile time using the `CX_LZSS_HASH_TABLE_SIZE` configuration flag. For window sizes larger than 65260 bytes, the `CX_LZSS_ENCODE_LARGE_WINDOW` flag has to be set to 1.

## 2.1 LZPJ

The LZPJ (Lempel-Ziv "Plain Jane") algorithm takes the output of the LZSS algorithm and turns it into a simple bitstream which only contains the literals and the references. For this reason, implementations of LZPJ are very simple and fast, at the cost of compression efficiency.

## 2.2 DEFLATE

The DEFLATE algorithm is also based on the LZSS algorithm. It employs entropy encoding using Huffman tables to efficiently represent the tokens delivered by the LZSS algorithm. emCompress-Pro determines the entries of the Huffman tables dynamically during compression, but it can also read data compressed with static Huffman tables by other compression utilities.

In order to be able to adapt the Huffman table to changes in the input data, the DEFLATE algorithm's output is block based. Each block has its own Huffman table. The maximum block size is a parameter which must be provided to the algorithm. Usual values for the block length are 16384 to 32768.

## 2.3 LZMA

The LZMA (Lempel-Ziv-Markov chain algorithm) also uses LZSS as its foundation. It supports very large dictionary sizes for inserting references and a sophisticated tracker to optimize references to previous blocks. It employs a range encoder instead of a Huffman encoder for even more dense storage of data.

A range encoder is based on the probability distribution of the characters in a stream of data. The range encoder used in LZMA does not treat the data uniformly, but tracks the probabilities of characters based on certain contexts:

- Literal context (LC): Number of most significant bits of the previous byte to use for context determination when encoding a literal.
- Literal position (LP): Number of least significant position bits to use for context determination when encoding literals.
- Position bits (PB): Number of least significant position bits to use for context determination for general encoding.

The range of valid values for these parameters depends on whether compression and decompression are only performed with emCompress-Pro or whether other tools, like the `xz`

tool must also be able to work with the data. See section *Optimizing LZMA compression* on page 67 for details on how to determine the best values of these parameters. The values of LC, LP and PB contribute to the dynamic memory requirements for compression and decompression, see sub-section *"LZMA algorithm"* on page 63 in the section about memory requirements.

Parameter	Default	emCompress-Pro	XZ
LC	3	0 - 8	0 - 4
LP	0	0 - 4	0 - 4
PB	2	0 - 4	0 - 4
			LC + LP ≤ 4

## 2.3.1 Compatibility with the XZ tool

emCompress-Pro can produce and consume raw LZMA streams which are called "LZMA1" in the terminology of the XZ tool. emCompress-Pro's LZMA compressor has been designed to provide a reasonable compression ratio within the memory limitations of embedded systems. Therefore, its compression efficiency may be lower than what can be achieved by the XZ tool, which features a highly optimized compressor.

### Compression with the XZ tool

To compress data with the XZ tool for consumption by emCompress-Pro, the following parameters need to be specified:

```
xz -z -k --suffix=.lzma -T 1 --format=raw
  --lzma1=preset=<OPT>,lc=<LC>,lp=<LP>,pb=<PB>,dict=<WS>
  <input-file>
```

- **-z**: Compress data
- **-k**: Keep the input file
- **--suffix=.lzma**: Write output to a file with the same name as the input file with the added suffix `.lzma`. The name of the output file can not be specified directly, only via the **--suffix** parameter.
- **-T 1**: Use a single thread only.
- **<OPT>**: Specifies the optimization level, which ranges from 0 to 9.
- **<LC>**, **<LP>** and **<PB>**: Specifies the values for LC, LP and PB.
- **<WS>**: Specifies the window size.

For example, to compress a file `Firmware.input` with a window size of 32768, maximum optimization (9), LC=1, LP=2 and PB=2, the following command can be used to generate a compressed file `Firmware.input.lzma`:

```
xz -z -k --suffix=.lzma -T 1 --format=raw
  --lzma1=preset=9,lc=1,lp=2,pb=2,dict=32768
  Firmware.input
```

This file can be decompressed using emCompress-Pro's `XZ_Tool` (section *compression/decompression utility* on page 69) like this:

```
CX_Tool -d lzma --lc=1 --lp=2 --pb=2 --ws=32768
  Firmware.input.lzma Firmware.output
```

### Decompression with the XZ tool

```
xz -d -k --suffix=.lzma -T 1 --format=raw
  --lzma1=preset=9,lc=<LC>,lp=<LP>,pb=<PB>,dict=<WS>
  <input-file>
```

- **-d**: Decompress data
- **-k**: Keep the input file
- **--suffix=.lzma**: Write output to a file with the name as the input file, but with the suffix `.lzma` removed. The name of the output file can not be specified explicitly, the XZ tool can only use the same filename without the specified suffix.

- **-T 1**: Use a single thread only.
- **<LC>**, **<LP>** and **<PB>**: Specifies the values for LC, LP and PB.
- **<WS>**: Specifies the window size.

For example, when data is compressed using emCompress-Pro's `XZ_Tool` (section *compression/decompression utility* on page 69) like this:

```
CX_Tool -c lzma --lc=1 --lp=2 --pb=2 --ws=32768 --opt=10
Firmware.input Firmware.output.lzma
```

It can be decompressed using the XZ tool, resulting in an uncompressed file named `Firmware.output`:

```
xz -d -k --suffix=.lzma -T 1 --format=raw
--lzma1=preset=9,lc=1,lp=2,pb=2,dict=32768
Firmware.output.lzma
```

## 2.4 SMASH-2

SMASH-2 uses LZSS combined with variable length encoding of references to matches. The SMASH-2 implementation in emCompress-Pro features an optimization for compressing firmware with 16-bit or 32-bit instruction width, which allows more efficient encoding of distances to matches.

The optimization for different instruction sets is enabled using the `ISA` parameter:

Instruction set	ISA-parameter
Variable length instructions (e.g. IA-32), no optimization	0
16-bit instructions	1
32-bit instructions	2

# Chapter 3

## Using emCompress-Pro

---

emCompress-Pro divides into two parts:

- A compressor that is responsible for compressing data using a selected compression algorithm and parameters, and
- A decompressor that is responsible for decompressing data compressed with a selected compression algorithm and parameters.

## 3.1 Compressing and decompressing data

Compressing a stream of data comprises three steps:

- Initialization
- Repeatedly presenting uncompressed data and extracting compressed data
- Finalization

### 3.1.1 Providing dynamic memory

The amount of memory required for compression and decompression depends on the algorithm and the compression parameters. For this reason, dynamic memory is used instead of static memory. The dynamic memory management system can be chosen to match the capabilities of the system on which emCompress-Pro is used.

emCompress-Pro accesses the memory management system via a context, which is initialized to use the chosen memory management system. The available memory management systems are described below.

#### System heap

On PCs and on some embedded systems, a heap is available using the standard `malloc` and `free` functions. This heap can be used by emCompress-Pro by initializing the context as follows:

```
#include "CX.h"

static SEGGER_MEM_CONTEXT    MemCtx;

SEGGER_MEM_SYSTEM_HEAP_Init(&MemCtx);
```

#### Stack-like buffer implementation

On systems which do not provide a heap system, the stack-like buffer implementation is the simplest option for memory management. It needs a static buffer which is large enough to accommodate for the maximum dynamic memory requirements of emCompress-Pro. Assuming that the maximum memory requirement has been determined to be 64 KB (using section *Resource requirements* on page 55), the following code can be used:

```
#include "CX.h"

#define HEAP_SIZE 65536

static SEGGER_MEM_CONTEXT    MemCtx;
static SEGGER_MEM_SBUFFER    MemSBuffer;
static U8                    MemBuffer[HEAP_SIZE];

SEGGER_MEM_SBUFFER_Init(&MemCtx, &MemSBuffer, &MemBuffer, HEAP_SIZE);
```

### 3.1.2 Preparing for compression

A compression operation is prepared by calling `CX_ENCODE_Init()`, passing in:

- An encoding context to initialize
- A compression algorithm to use
- A set of parameters that configure the compression algorithm
- A memory context to allocate working storage for compression

For instance:

```
static CX_ENCODE_CONTEXT    EncCtx;      ❶
static CX_PARAS             Paras;      ❷
static SEGGER_MEM_CONTEXT    MemCtx;     ❸
```

```

SEGGER_MEM_SYSTEM_HEAP_Init (&MemCtx);

CX_PARAS_Clear(&Paras);      ④
Paras.WindowSize = 32768;    ⑤
Paras.MinLen      = 3;       ⑥
Paras.MaxLen      = 258;
Paras.Optimize    = 10;     ⑦
Paras.BlockLen    = 32768;  ⑧

Status = CX_ENCODE_Init(&EncCtx, ⑨
                        &CX_DEFLATE_Encode,
                        &Paras,
                        pMemCtx);

if (Status == 0) {
    printf("Successfully initialized encoder\n");
} else {
    printf("Initializatio of encoder failed: %s\n",
           CX_GetErrorText(Status));
}

```

### ❶ Declare encoding context

The encoding context is defined by the type `CX_ENCODE_CONTEXT`. It contains the encoding state of data presented for compression. The fields within this structure are private and must not be modified. SEGGER makes no guarantee that these fields have the the same name, are present, or have the same interpretation between releases.

### ❷ Declare encoding parameters

The encoding parameters are defined within the structure `CX_PARAS`. SEGGER guarantees the presence and interpretation of these fields between releases. These fields are initialized by the user and are described below.

### ❸ Declare memory context

A memory context is declared and initialized to use the system's heap implementation to provide dynamic memory to emCompress-Pro.

### ❹ Initialize compression parameters

Before setting up compression algorithm parameters, they must be cleared to zero using `CX_PARAS_Clear()`.

### ❺ Initialize window size

This example initializes the compression parameters used by the DEFLATE compressor. The window size specifies the number of characters to look backwards over to find a match and to replace the characters at the cursor with a reference to the match, thereby compressing the incoming data. Larger window sizes provide more data and therefore a larger probability of finding a match and producing a better-compressed output.

In this case the window is initialized to 32768 characters, the largest window that the DEFLATE algorithm supports.

### ❻ Initialize match lengths

This example initializes the match size to between 3 and 258 characters inclusive, the widest possible range for DEFLATE. Adjusting these parameters can improve compression and reduce the size of the compressed output (using longer match lengths), or can improve the speed of compression (by using shorter match lengths).

### ❼ Initialize the optimization

The optimization controls how much effort the compressor puts into finding the best match. In this case, the best possible compression is requested by setting the parameter to 10.

### ⑧ Initialize block length

DEFLATE is a block-based algorithm rather than a streaming algorithm. Data are collected into a block for compression, compressed, and emitted. Blocks are chained together such that compression can adapt to the changing characteristics of the input stream. In this case, the block size is set to 32768 characters, the maximum for DEFLATE.

### ⑨ Initialize encoder

The encoding process is initialized by calling `CX_ENCODE_Init()`, which return a status code indicating success or failure.

## 3.1.3 Compressing data

Data are compressed using `CX_ENCODE_Process()`. The general form of compression uses a `CX_STREAM` structure that provides the data to compress and the buffer to place compressed data into. For instance, to read a file, compress the data, and write the output, where the files are already open in binary mode:

```
static int _CompressFile(FILE *pFileIn, FILE *pFileOut) {
    CX_STREAM Stream;
    U8      aIn [1024];
    U8      aOut[1024];
    int     Status;
    int     Eof;
    size_t  NumBytesToWrite;
    size_t  NumBytes;
    //
    memset(&Stream, 0, sizeof(Stream));
    Eof = CX_FLUSH_NONE;
    //
    for (;;) {
        if (Stream.AvailIn == 0) {
            NumBytes = fread(aIn, 1, sizeof(aIn), pFileIn);
            if (feof(pFileIn) != 0) { // end of file
                Eof = CX_FLUSH_END;
            } else if (ferror(pFileIn) != 0) {
                Status = CX_STATUS_GENERAL_ERROR;
                break;
            }
            // Note: Stream.pIn always has to be set to point to the
            //       start of the buffer, since it is moved through
            //       the buffer while data is processed.
            Stream.pIn = aIn;
            Stream.AvailIn = NumBytes;
        }
        // Note: Stream.pOut always has to be set to point to the
        //       start of the buffer, since it is moved through
        //       the buffer while data is written into it.
        Stream.pOut = aOut;
        Stream.AvailOut = sizeof(aOut);
        Status = CX_ENCODE_Process(&EncCtx, &Stream, Eof);
        if (Status < 0) {
            break;
        }

        NumBytesToWrite = sizeof(aOut) - Stream.AvailOut;
        NumBytes = fwrite(aOut, 1, NumBytesToWrite, pFileOut);
        if (NumBytes != NumBytesToWrite) {
            Status = CX_STATUS_GENERAL_ERROR;
            break;
        }

        if (Status == CX_STATUS_DONE) {
            // Compressor is done consuming the input data and has
            // flushed its output.
        }
    }
}
```

```

        break;
    }
}
//
return Status;
}

```

### 3.1.4 Decompressing data

Decompressing data has identical form to compressing data, except that whenever “Encode” is used to encode (compress) data, “Decode” is used to decode (decompress) data. Care has to be taken to call the function `CX_DECODE_Process()` until it returns `CX_STATUS_DONE`.

```

static int _DecompressFile(FILE *pFileIn, FILE *pFileOut) {
    CX_STREAM Stream;
    U8      aIn [1024];
    U8      aOut[1024];
    int     Status;
    int     Eof;
    size_t  NumBytesToWrite;
    size_t  NumBytes;
    //
    memset(&Stream, 0, sizeof(Stream));
    Eof = CX_FLUSH_NONE;
    //
    for (;;) {
        if (Stream.AvailIn == 0) {
            NumBytes = fread(aIn, 1, sizeof(aIn), pFileIn);
            if (feof(pFileIn) != 0) { // end of file
                Eof = CX_FLUSH_END;
            } else if (ferror(pFileIn) != 0) {
                Status = CX_STATUS_GENERAL_ERROR;
                break;
            }
        }

        // Note: Stream.pIn always has to be set to point to the start
        //       of the buffer, since it is moved through the buffer
        //       while data is processed.
        Stream.pIn = aIn;
        Stream.AvailIn = NumBytes;

        // Note: Stream.pOut always has to be set to point to the start
        //       of the buffer, since it is moved through the buffer
        //       while data is written into it.
        Stream.pOut = aOut;
        Stream.AvailOut = sizeof(aOut);
        Status = CX_DECODE_Process(&DecCtx, &Stream, Eof);
        if (Status < 0) {
            break;
        }

        NumBytesToWrite = sizeof(aOut) - Stream.AvailOut;
        NumBytes = fwrite(aOut, 1, NumBytesToWrite, pFileOut);
        if (NumBytes != NumBytesToWrite) {
            return CX_STATUS_GENERAL_ERROR;
        }

        // Did the stream end?
        if (Status == CX_STATUS_DONE) {
            if (Eof == CX_FLUSH_END) {
                // Stream ended and Eof, done with success.
                return CX_STATUS_DONE;
            }
        }
    }
}

```

```
if (Stream.AvailIn != 0) {
    // Stream ended, but not all input data has been consumed
    return CX_STATUS_GENERAL_ERROR;
}

// Eof has not been signalled yet. Read from the file
// one more time to check whether Eof is returned then
// or more data is returned (remember: Eof is only returned
// if one reads beyond the last byte in the file).
}
}
//
return Status;
}
```

## 3.2 Compression of program code

If the content of the data to be compressed is known in advance, it can generally be better compressed by applying a *conditioner* to the data before compression.

Conditioners are available for the following instruction sets:

- Arm T32 (Thumb-2)
- Arm A32 (AArch32)
- Arm A64 (AArch64)
- RISC-V RV32
- Intel IA-32

The following shows how conditioning, for instance, improves the data file `Firmware.input` for all compressors. The utility is described in *Compression algorithm comparison* on page 66.

### Without conditioning

```
C:> CX_Util.exe Firmware.input

Input size:      218824 bytes
Conditioner:     None
SMASH-2 flags:  None

Window  SMASH2-PRO      LZPJ      DEFLATE      LZMA
-----  -
   256    104648      131504      100288      83938
   512    94988       120095      93314       78642
  1024    89468       113406      88948       75197
  2048    86497       109440      86270       73499
  4096    84572       106652      84440       72072
  8192    83197       104235      83080       70798
 16384    82755       102896      82516       70009
-----  -
Total    626125      788228      618856      524155
-----  -

C:> _
```

### With Arm A32 conditioning

```
C:> CX_Util.exe --a32 Firmware.input

Input size:      218824 bytes
Conditioner:     Arm A32
SMASH-2 flags:  None

Window  SMASH2-PRO      LZPJ      DEFLATE      LZMA
-----  -
   256    99749       123481      94516       79490
   512    89202       111026      86614       73482
  1024    82980       103486      81566       69366
  2048    79484       98985       78402       67153
  4096    77008       95648       76114       65223
  8192    75215       93025       74478       63588
 16384    74437       91731       73816       62483
-----  -
Total    578075      717382      565506      480785
-----  -

C:> _
```

## Additional conditioning

In addition, the SMASH-2 compressor can usually better compress code for any 16-bit or 32-bit instruction set:

```
C:> CX_Util.exe --a32 --fw32 Firmware.input

Input size:      218824 bytes
Conditioner:     Arm A32
SMASH-2 flags:  32-bit instruction set
```

Window	SMASH2-PRO	LZPJ	DEFLATE	LZMA
256	96539	123481	94516	79490
512	86583	111026	86614	73482
1024	80842	103486	81566	69366
2048	77730	98985	78402	67153
4096	75531	95648	76114	65223
8192	73858	93025	74478	63588
16384	73345	91731	73816	62483
Total	564428	717382	565506	480785

```
C:> _
```

In this case, the SMASH-2 compressor, with a window size of 512 bytes or more compresses better than DEFLATE.

# Chapter 4

## emCompress-Pro API

---

This section describes the public API for emCompress-Pro. Any functions or data structures that are not described here but are exposed through inclusion of the `cx.h` header file must be considered private and subject to change.

## 4.1 Preprocessor definitions

### 4.1.1 Version number

#### Description

Symbol expands to a number that identifies the specific emCompress-Pro release.

#### Definition

```
#define CX_VERSION 22000
```

#### Symbols

Definition	Description
<code>CX_VERSION</code>	Internal use.

### 4.1.2 CX\_LZSS\_ENCODE\_LARGE\_WINDOW

#### Description

Configures LZSS for large window sizes.

This is a helper configuration flag which can be used without specifying an exact window size as is required for `CX_LZSS_ENCODE_MAX_WINDOW_SIZE`.

#### Definition

```
#define CX_LZSS_ENCODE_LARGE_WINDOW 0
```

### 4.1.3 CX\_LZSS\_ENCODE\_MAX\_WINDOW\_SIZE

#### Description

Controls the maximum window size supported by the LZSS algorithm.

- ≤ 65260 bytes: In this case, U16 is used to store references to previous matches in the hash table.
- > 65260 bytes. In this case, U32 is used in the hash table.

#### Definition

```
#define CX_LZSS_ENCODE_MAX_WINDOW_SIZE CX_LZSS_MAX_U16_WINDOW_SIZE
```

### 4.1.4 CX\_LZSS\_HASH\_TABLE\_SIZE

#### Description

Controls the size of the hash table used by the LZSS algorithm.

Depending on the `CX_LZSS_ENCODE_MAX_WINDOW_SIZE` parameter, each entry in the hash table is either 16-bit or 32-bit large.

For usual window sizes on target hardware, a size of 256 entries has been chosen as a good middle ground between memory consumption and speed.

Allowed values:

- 0 -- Small, 256 entries.
- 1 -- Medium, 1,048,576 entries.
- 2 -- Large, 16,777,216 entries.

**Definition**

```
#define CX_LZSS_HASH_TABLE_SIZE 0
```

## 4.2 Data types

### 4.2.1 CX\_PARAS

#### Description

Compression parameters.

#### Type definition

```
typedef struct {
    CX_PARA  WindowSize;
    CX_PARA  MinLen;
    CX_PARA  MaxLen;
    CX_PARA  P1;
    CX_PARA  P2;
    CX_PARA  P3;
    CX_PARA  BlockLen;
    CX_PARA  Optimize;
} CX_PARAS;
```

#### Structure members

Member	Description
<code>WindowSize</code>	Number of octets in matching window.
<code>MinLen</code>	Minimum length of match.
<code>MaxLen</code>	Maximum length of match.
<code>P1</code>	LC for LZMA, ISA width for SMASH-2.
<code>P2</code>	LP for LZMA.
<code>P3</code>	PB for LZMA.
<code>BlockLen</code>	Number of bytes for one block (DEFLATE only).
<code>Optimize</code>	Optimization level, [0..10], higher values produce better matches but take more time.

## 4.2.2 CX\_STREAM

### Description

Streaming interface.

### Type definition

```
typedef struct {  
    U32      AvailIn;  
    const U8 * pIn;  
    U32      AvailOut;  
    U8       * pOut;  
} CX_STREAM;
```

### Structure members

Member	Description
<a href="#">AvailIn</a>	Number of elements available as input octets
<a href="#">pIn</a>	Pointer to available input octets
<a href="#">AvailOut</a>	Number of elements available for output octets
<a href="#">pOut</a>	Pointer to output octets

## 4.2.3 CX\_ENCODE\_CONTEXT

### Description

Private encoding context.

### Type definition

```
typedef struct {
    void * pWork;
    const CX_ENCODE_API * pAPI;
    SEGGER_MEM_CONTEXT * pMem;
    CX_BIT_ENCODE_CONTEXT Bitstream;
    CX_BUFFER Block;
    CX_ENCODE_STATE State;
} CX_ENCODE_CONTEXT;
```

### Structure members

Member	Description
<code>pWork</code>	Internal use.
<code>pAPI</code>	Internal use.
<code>pMem</code>	Internal use.
<code>Bitstream</code>	Internal use.
<code>Block</code>	Internal use.
<code>State</code>	Internal use.

## 4.2.4 CX\_DECODE\_CONTEXT

### Description

Private decoding context.

### Type definition

```
typedef struct {  
    void * pWork;  
    const CX_DECODE_API * pAPI;  
    SEGGER_MEM_CONTEXT * pMem;  
    CX_BIT_DECODE_CONTEXT Bitstream;  
    CX_DECODE_STATE State;  
} CX_DECODE_CONTEXT;
```

### Structure members

Member	Description
<code>pWork</code>	Internal use.
<code>pAPI</code>	Internal use.
<code>pMem</code>	Internal use.
<code>Bitstream</code>	Internal use.
<code>State</code>	Internal use.

## 4.3 Data definitions

### 4.3.1 Compression algorithms

#### Definition

```
extern const CX_ENCODE_API CX_SMASH2_Encode;  
extern const CX_ENCODE_API CX_LZPJ_Encode;  
extern const CX_ENCODE_API CX_LZMA_Encode;  
extern const CX_ENCODE_API CX_DEFLATE_Encode;
```

#### Description

Each encoding API is passed to `CX_ENCODE_Init()` in order to select the appropriate compression algorithm.

## 4.3.2 Decompression algorithms

### Definition

```
extern const CX_DECODE_API CX_SMASH2_Decode;  
extern const CX_DECODE_API CX_LZPJ_Decode;  
extern const CX_DECODE_API CX_LZMA_Decode;  
extern const CX_DECODE_API CX_DEFLATE_Decode;
```

### Description

Each encoding API is passed to `CX_DECODE_Init()` in order to select the appropriate decompression algorithm.

## 4.4 Compression functions

emCompress-Pro defines the following compression functions:

Function	Description
<code>CX_ENCODE_Init()</code>	Initialize encoder.
<code>CX_ENCODE_Process()</code>	Run encoder coroutine.
<code>CX_ENCODE_Exit()</code>	Finalize encoder and deallocate memory.

## 4.4.1 CX\_ENCODE\_Init()

### Description

Initialize encoder. The encoder parameters are specific to the encoder being selected.

### Prototype

```
int CX_ENCODE_Init(      CX_ENCODE_CONTEXT * pSelf,
                        const CX_ENCODE_API  * pAPI,
                        const CX_PARAS      * pParas,
                        SEGGER_MEM_CONTEXT * pMem);
```

### Parameters

Parameter	Description
<code>pSelf</code>	Pointer to encoder context.
<code>pAPI</code>	Pointer to encoder API.
<code>pParas</code>	Pointer to encoder parameters.
<code>pMem</code>	Pointer to memory allocation context.

### Return value

≥ 0      Success.  
 < 0      Error (no memory, invalid parameters).

## 4.4.2 CX\_ENCODE\_Process()

### Description

Run encoder coroutine.

Reads data from the stream's input buffer and writes compressed data into the stream's output buffer. Pass flags = CX\_FLUSH\_NONE when more input data is still available after the data which is currently in the stream's input buffer. Pass flags = CX\_FLUSH\_EOF when no more data is available after the data which is left in the buffer.

The function will return CX\_STATUS\_SUCCESS when the input data was successfully written into the stream's output buffer and more data may be available at the next call to this function.

The function must be called until it returns CX\_STATUS\_DONE, even if no more input data is available. When CX\_STATUS\_DONE is returned, the compression stream has been successfully finalized and written to the stream's output buffer.

The pointers to the input/output buffers of the streams are moved through those buffers as the function reads from them and writes to them. This means that when the input buffers is refilled or the data from the output buffer has been consumed by the caller, care must be taken to reset those pointers to the start of the respective buffers.

### Prototype

```
int CX_ENCODE_Process(CX_ENCODE_CONTEXT * pSelf,
                    CX_STREAM          * pStream,
                    unsigned            Flags);
```

### Parameters

Parameter	Description
<code>pSelf</code>	Pointer to encoder context.
<code>pStream</code>	Pointer to I/O stream.
<code>Flags</code>	Encoding flags, either CX_FLUSH_NONE or CX_FLUSH_EOF when the stream ends.

### Return value

- = 1 Success encoding data, encode is complete (CX\_STATUS\_DONE).
- = 0 Success encoding data, waiting for more data (CX\_STATUS\_SUCCESS).
- < 0 Error during encoding, current error status (CX\_STATUS\_...).

### 4.4.3 CX\_ENCODE\_Exit()

#### Description

Finalize encoder and deallocate memory.

Calling this function multiple times during an exit sequence is allowed.

#### Prototype

```
void CX_ENCODE_Exit(CX_ENCODE_CONTEXT * pSelf);
```

#### Parameters

Parameter	Description
<code>pSelf</code>	Pointer to encoder context.

## 4.5 Decompression functions

emCompress-Pro defines the following decompression functions:

Function	Description
<a href="#">CX_DECODE_Init()</a>	Initialize decoder.
<a href="#">CX_DECODE_Process()</a>	Run decoder coroutine.
<a href="#">CX_DECODE_Exit()</a>	Finalize decoder and deallocate memory.

## 4.5.1 CX\_DECODE\_Init()

### Description

Initialize decoder.

### Prototype

```
int CX_DECODE_Init(      CX_DECODE_CONTEXT * pSelf,  
                        const CX_DECODE_API  * pAPI,  
                        const CX_PARAS      * pParas,  
                        SEGGER_MEM_CONTEXT * pMem);
```

### Parameters

Parameter	Description
<code>pSelf</code>	Pointer to decoder context.
<code>pAPI</code>	Pointer to decoder API.
<code>pParas</code>	Pointer to decoder parameters.
<code>pMem</code>	Pointer to memory allocation context.

### Return value

≥ 0      Success.  
< 0      Error (no memory, invalid parameters).

## 4.5.2 CX\_DECODE\_Process()

### Description

Run decoder coroutine.

Reads data from the stream's input buffer and writes decompressed data into the stream's output buffer. Pass flags = CX\_FLUSH\_NONE when more input data is still available after the data which is currently in the stream's input buffer. Pass flags = CX\_FLUSH\_EOF when no more data is available after the data which is left in the buffer.

The function will return CX\_STATUS\_SUCCESS when the input data was successfully written into the stream's output buffer and more data may be available at the next call to this function.

The function must be called until it returns CX\_STATUS\_DONE, even if no more input data is available. When CX\_STATUS\_DONE is returned, the compression stream has been successfully consumed and all output has been written to the stream's output buffer.

If there is data in the input buffer after the end of the compressed stream, this function will not consume it, but return CX\_STATUS\_DONE. It is the responsibility of the caller to decide whether data after the end of the stream constitutes an error condition or whether it can be discarded or processed by other means.

The pointers to the input/output buffers of the streams are moved through those buffers as the function reads from them and writes to them. This means that when the input buffers is refilled or the data from the output buffer has been consumed by the caller, care must be taken to reset those pointers to the start of the respective buffers.

### Prototype

```
int CX_DECODE_Process(CX_DECODE_CONTEXT * pSelf,
                    CX_STREAM          * pStream,
                    unsigned            Flags);
```

### Parameters

Parameter	Description
<code>pSelf</code>	Pointer to decoder context.
<code>pStream</code>	Pointer to I/O stream.
<code>Flags</code>	Encoding flags, either CX_FLUSH_NONE or CX_FLUSH_EOF when the stream ends.

### Return value

- = 1 Success decoding bitstream, decode is complete (CX\_STATUS\_DONE).
- = 0 Success decoding bitstream, waiting for more data (CX\_STATUS\_SUCCESS).
- < 0 Decoder is in error, current error status (CX\_STATUS\_...).

### 4.5.3 CX\_DECODE\_Exit()

#### Description

Finalize decoder and deallocate memory.

#### Prototype

```
void CX_DECODE_Exit(CX_DECODE_CONTEXT * pSelf);
```

#### Parameters

Parameter	Description
<code>pSelf</code>	Pointer to decoder context.

## 4.6 Conditioning functions

emCompress-Pro defines the following conditioning functions:

Function	Description
<a href="#">CX_PRECOND_T32_Run()</a>	Condition for Arm T32 ISA.
<a href="#">CX_PRECOND_A32_Run()</a>	Condition for Arm A32 ISA.
<a href="#">CX_PRECOND_A64_Run()</a>	Condition for Arm A64 ISA.
<a href="#">CX_PRECOND_RV32_Run()</a>	Condition for RISC-V RV32I ISA.
<a href="#">CX_PRECOND_IA32_Run()</a>	Condition for Intel IA-32 ISA.

## 4.6.1 CX\_PRECOND\_T32\_Run()

### Description

Condition for Arm T32 ISA.

### Prototype

```
void CX_PRECOND_T32_Run(      U8 * pOutput,
                             const U8 * pInput,
                             U32 Len,
                             int Encode);
```

### Parameters

Parameter	Description
<code>pOutput</code>	Pointer to object that receives the conditioned output.
<code>pInput</code>	Pointer to object to condition.
<code>Len</code>	Octet length of input and output objects.
<code>Encode</code>	Nonzero to encode, zero to decode.

### Additional information

In-place conditioning is supported when `pInput` is equal to `pOutput`. Other instances where the input and output overlap result in undefined behavior.

## 4.6.2 CX\_PRECOND\_A32\_Run()

### Description

Condition for Arm A32 ISA.

### Prototype

```
void CX_PRECOND_A32_Run(      U8 * pOutput,  
                             const U8 * pInput,  
                             U32 Len,  
                             int Encode);
```

### Parameters

Parameter	Description
<code>pOutput</code>	Pointer to object that receives the conditioned output.
<code>pInput</code>	Pointer to object to condition.
<code>Len</code>	Octet length of input and output objects.
<code>Encode</code>	Nonzero to encode, zero to decode.

### Additional information

In-place conditioning is supported when `pInput` is equal to `pOutput`. Other instances where the input and output overlap result in undefined behavior.

### 4.6.3 CX\_PRECOND\_A64\_Run()

#### Description

Condition for Arm A64 ISA.

#### Prototype

```
void CX_PRECOND_A64_Run(      U8 * pOutput,
                             const U8 * pInput,
                             U32 Len,
                             int Encode);
```

#### Parameters

Parameter	Description
<code>pOutput</code>	Pointer to object that receives the conditioned output.
<code>pInput</code>	Pointer to object to condition.
<code>Len</code>	Octet length of input and output objects.
<code>Encode</code>	Nonzero to encode, zero to decode.

#### Additional information

In-place conditioning is supported when `pInput` is equal to `pOutput`. Other instances where the input and output overlap result in undefined behavior.

## 4.6.4 CX\_PRECOND\_RV32\_Run()

### Description

Condition for RISC-V RV32I ISA.

### Prototype

```
void CX_PRECOND_RV32_Run(    U8 * pOutput,
                           const U8 * pInput,
                           U32  Len,
                           int   Encode);
```

### Parameters

Parameter	Description
<code>pOutput</code>	Pointer to object that receives the conditioned output.
<code>pInput</code>	Pointer to object to condition.
<code>Len</code>	Octet length of input and output objects.
<code>Encode</code>	Nonzero to encode, zero to decode.

### Additional information

In-place conditioning is supported when `pInput` is equal to `pOutput`. Other instances where the input and output overlap result in undefined behavior.

## 4.6.5 CX\_PRECOND\_IA32\_Run()

### Description

Condition for Intel IA-32 ISA.

### Prototype

```
void CX_PRECOND_IA32_Run(    U8 * pOutput,
                           const U8 * pInput,
                           U32 Len,
                           int Encode);
```

### Parameters

Parameter	Description
<code>pOutput</code>	Pointer to object that receives the conditioned output.
<code>pInput</code>	Pointer to object to condition.
<code>Len</code>	Octet length of input and output objects.
<code>Encode</code>	Nonzero to encode, zero to decode.

### Additional information

In-place conditioning is supported when `pInput` is equal to `pOutput`. Other instances where the input and output overlap result in undefined behavior.

## 4.7 Utility functions

emCompress-Pro defines the following utility functions:

Function	Description
<a href="#">CX_PARAS_Clear()</a>	Clear all parameters to zero.
<a href="#">CX_GetErrorText()</a>	Get error status as printable string.
<a href="#">CX_GetCopyrightText()</a>	Get copyright as printable string.
<a href="#">CX_GetVersionText()</a>	Get version as printable string.

## 4.7.1 CX\_PARAS\_Clear()

### Description

Clear all parameters to zero.

### Prototype

```
void CX_PARAS_Clear(CX_PARAS * pParas);
```

### Parameters

Parameter	Description
<code>pParas</code>	Pointer to parameters.

## 4.7.2 CX\_GetErrorText()

### Description

Get error status as printable string.

### Prototype

```
char *CX_GetErrorText(int Status);
```

### Return value

Zero-terminated error string.

### 4.7.3 CX\_GetCopyrightText()

**Description**

Get copyright as printable string.

**Prototype**

```
char *CX_GetCopyrightText(void);
```

**Return value**

Zero-terminated copyright string.

## 4.7.4 CX\_GetVersionText()

### Description

Get version as printable string.

### Prototype

```
char *CX_GetVersionText(void);
```

### Return value

Zero-terminated version string.

# Chapter 5

## Resource requirements

---

The memory requirements to compress and decompress data depend entirely on the algorithm chosen and the parameters that the algorithm is instantiated with. Algorithms that take more memory and more time compress better than those that use less memory and less time.

This section details the general memory requirements for compression and decompression.

Memory requirements can be divided into static memory requirements and dynamic memory requirements.

### Static memory requirements

Static memory requirements are known at compile time and are required by the emCompress-Pro library irregardless of the selected compression algorithm. The structures listed below require about 150 bytes of static RAM.

Purpose	Structures
Encode/decode contexts	CX_ENCODE_CONTEXT, CX_DECODE_CONTEXT
Compression parameters	CX_PARAS
Algorithm specific API references	CX_..._ENCODE / CX_..._DECODE
Memory context	SEGGER_MEM_CONTEXT, SEGGER_MEM_SBUFFER

### Dynamic memory requirements

Dynamic memory is allocated by the compression/decompression algorithms at run time using a suitable dynamic memory allocator. See section *Providing dynamic memory* on page 20 for more information on how to provide dynamic memory.

Since the memory requirements depend on many parameters, formulas are provided to calculate estimates for the memory requirements. An Excel sheet, which can calculate the memory requirements based on the compression parameters, is also provided in the shipping package of emCompress-Pro. The actual memory requirements may differ due to word sizes, alignment and memory management overhead. The Excel table takes assumes an alignment of 4 bytes for each block of dynamic memory, while the formulas below do not include alignment for simplicity.

The dynamic memory requirements of the algorithms is the sum of the memory requirements of the LZSS algorithm and the algorithm specific memory requirements. The memory

requirements of the LZSS algorithm for encoding are presented below. The requirements of the algorithms which use the LZSS algorithm are presented in the following sections, including the accumulated requirements for decoding.

### LZSS requirements for encoding

The LZSS dynamic memory requirements depend on these parameters:

- Window size (WS): Size of the window in which the algorithm looks for matches.
- Hash table size (HTS): Default: 256. Number of entries in the hash matching tables. Configured via the `CX_LZSS_HASH_TABLE_SIZE` configuration flag.
- Window index size (WIS): Default: 2. Size of the datatype used for indexing the window in bytes. Configured via the `CX_LZSS_ENCODE_MAX_WINDOW_SIZE` configuration flag.
- Maximum match length (MML): Depends on the algorithm, valid ranges are specified in the sections about the respective algorithms.

Description	Formula
LZSS: Window	$WS + MML + 2$
LZSS: Matcher chain pointers	$(WS + MML + 2) * WIS$
LZSS: Matcher hash table	$HTS * WIS$
LZSS Total	$HTS * WIS + (WS + MML + 2) * (WIS + 1)$

Example: WS = 32768, MML = 258 (for LZPJ), HTS = 256, WIS = 2:

Memory consumption =  $256 * 2 + (32768 + 258 + 2) * (2 + 1) = 99596$

## 5.1 SMASH-2 algorithm

### Encoding sizes

The SMASH-2 encoder requires approximately 3.2 kB of ROM when using Arm Thumb-2 instructions.

The following are the dynamic RAM requirements for the SMASH-2 encoder:

Parameter	Allowed range
Window size (WS)	256 to 16384
Maximum match length (MML)	2 to 258

Description	Formula
LZSS total	$HTS * WIS + (WS + MML + 2) * (WIS + 1)$
SMASH-2 context	116
Total	$116 + HTS * WIS + (WS + MML + 2) * (WIS + 1)$

Example: WS = 16384, MML = 258, HTS = 256, WIS = 2:

Memory consumption =  $116 + 256 * 2 + (16384 + 258 + 2) * (2 + 1) = 50560$

### Decoding sizes

The SMASH-2 decoder requires approximately 1.3 kB of ROM when using Arm Thumb-2 instructions.

The following are the dynamic RAM requirements for the SMASH-2 decoder:

Description	Formula
LZSS window	$(WS + 1)$
SMASH-2 context	48
Total	$48 + (WS + 1)$

Example: WS = 16384

Memory consumption =  $48 + (16384 + 1) = 16433$

### Processing speed

The tables below list typical compression/decompression values reachable with SMASH-2. Tests were done on a Cortex-M7 MCU running at 200 MHz, using internal RAM. The input/output buffer sizes were each 64 KB.

Compression on the target was performed with `CX_LZSS_HASH_TABLE_SIZE=0`, `CX_LZSS_ENCODE_LARGE_WINDOW=0`. Instruction set optimization was off (0). *Ratio* denotes the compression rate, *rate* denotes the achieved input data rate, *memory* denotes the amount of dynamic memory required.

SMASH-2 compression on the target				
Window size	Optimization = 0 Ratio / Rate [kB/s]	Optimization = 2 Ratio / Rate [kB/s]	Optimization = 10 Ratio / Rate [kB/s]	Memory [kB]
256	82.9% / 523.2	70.1% / 523.2	62.9% / 350.5	2.2
512	80.4% / 527.7	61.3% / 476.0	57.9% / 272.4	3.0
1024	79.2% / 531.8	56.3% / 410.6	54.1% / 190.5	4.5
2048	73.1% / 479.0	52.6% / 320.3	51.0% / 121.0	7.6
4096	65.6% / 401.7	49.8% / 225.4	48.5% / 70.7	13.7
8192	61.3% / 306.9	47.8% / 147.9	46.7% / 38.5	26.0
16384	58.7% / 214.4	46.6% / 94.2	45.8% / 29.4	51.0

For the decompression tests, compressed data was prepared on the PC with `CX_LZSS_HASH_TABLE_SIZE = 1`, `CX_LZSS_ENCODE_LARGE_WINDOW = 1`. Parameters: Instruction set optimization = off, Optimization = 10, default values for minimum and maximum match lengths.

SMASH-2 decompression on the target				
Window size	Ratio	Input rate [kB/s]	Output rate [kB/s]	Dynamic memory [kB]
256	62.9%	904.9	1438.9	0.3
512	57.9%	885.9	1530.2	0.6
1024	54.1%	875.9	1619.7	1.1
2048	51.0%	870.5	1708.2	2.1
4096	48.5%	866.3	1787.8	4.2
8192	46.7%	865.2	1852.7	8.3
16384	45.8%	874.8	1911.3	16.5

## 5.2 DEFLATE algorithm

### Encoding sizes

The DEFLATE encoder requires approximately 6.2 kB of ROM when using Arm Thumb-2 instructions.

The following are the dynamic RAM requirements for the DEFLATE encoder:

Parameter	Allowed range
Window size (WS)	16 to 32768
Maximum match length (MML)	18 to 258
Block length (BL)	> 0, usually 16384 - 32768

Description	Formula
LZSS total	$HTS * WIS + (WS + MML + 2) * (WIS + 1)$
DEFLATE context	6124
Block buffer	BL
Total	$6124 + BL + HTS * WIS + (WS + MML + 2) * (WIS + 1)$

Example: BL = 16384, WS = 16384, MML = 258, HTS = 256, WIS = 2

Memory consumption =  $6124 + 16384 + 256 * 2 + (16384 + 258 + 2) * (2 + 1) = 72952$

### Decoding sizes

The DEFLATE decoder requires approximately 2.5 kB of ROM when using Arm Thumb-2 instructions.

The following are the dynamic RAM requirements for the DEFLATE decoder:

Description	Formula
LZSS window	WS
DEFLATE context	416
Overflow buffer	260
Dynamic Huffman table	2124
Total	$2800 + WS$

Example: WS = 16384

Memory consumption =  $2800 + 16384 = 19184$

### Processing speed

The tables below list typical compression/decompression values reachable with DEFLATE. Tests were done on a Cortex-M7 MCU running at 200 MHz, using internal RAM. The input/output buffer sizes were each 64 KB.

Compression on the target was performed with `CX_LZSS_HASH_TABLE_SIZE = 0`, `CX_LZSS_ENCODE_LARGE_WINDOW = 0`. Blocksize was set to 16384. *Ratio* denotes the compression rate, *rate* denotes the achieved input data rate, *memory* denotes the amount of dynamic memory required.

DEFLATE compression on the target				
Window size	Optimization = 0 Ratio / Rate [kB/s]	Optimization = 2 Ratio / Rate [kB/s]	Optimization = 10 Ratio / Rate [kB/s]	Memory [kB]
256	57.3% / 323.3	57.3% / 323.3	54.3% / 227.6	24.6
512	56.1% / 324.1	53.4% / 297.4	51.7% / 182.3	25.4
1024	55.3% / 325.6	50.7% / 257.7	49.5% / 129.7	26.9

2048	51.3% / 295.6	48.5% / 205.2	47.6% / 85.3	30.0
4096	48.8% / 250.7	46.7% / 151.2	46.0% / 53.9	36.1
8192	47.1% / 198.2	45.6% / 108.8	45.2% / 38.4	48.4
16384	46.1% / 149.2	45.2% / 85.0	45.0% / 38.2	73.0
32768	45.5% / 110.8	45.1% / 68.8	45.0% / 38.2	122.1

For the decompression tests, compressed data was prepared on the PC with `CX_LZSS_HASH_TABLE_SIZE = 1`, `CX_LZSS_ENCODE_LARGE_WINDOW = 1`. Parameters: Block length = 32768, optimization = 10, default values for minimum and maximum match lengths.

DEFLATE decompression on the target				
Window size	Ratio	Input rate [kB/s]	Output rate [kB/s]	Dynamic memory [kB]
256	54.3%	353.9	652.3	3.0
512	51.5%	359.6	697.6	3.3
1024	49.3%	366.4	743.9	3.8
2048	47.2%	373.3	791.1	4.8
4096	45.4%	379.7	835.6	6.9
8192	44.3%	385.0	869.4	11.0
16384	43.8%	390.2	891.6	19.2
32768	43.6%	393.4	901.5	35.6

## 5.3 LZPJ algorithm

### Encoding sizes

The LZPJ encoder requires approximately 2.5 kB of ROM when using Arm Thumb-2 instructions.

The following are the RAM requirements for the LZPJ encoder:

Parameter	Allowed range
Window size (WS)	16 to 32768
Maximum match length (MML)	18 to 258

Description	Formula
LZSS total	$HTS * WIS + (WS + MML + 2) * (WIS + 1)$
LZPJ context	108
Total	$108 + HTS * WIS + (WS + MML + 2) * (WIS + 1)$

Example: WS = 32768, MML = 258, HTS = 256, WIS = 2

Memory consumption =  $108 + 256 * 2 + (32768 + 258 + 2) * (2 + 1) = 99704$

### Decoding sizes

The LZPJ decoder requires approximately 1.0 kB of ROM when using Arm Thumb-2 instructions.

The following are the RAM requirements for the LZPJ decoder:

Description	Formula
LZSS window	$(WS + 1)$
LZPJ context	40
Total	$40 + (WS + 1)$

Example: WS = 32768

Memory consumption =  $40 + (32768 + 1) = 32809$

### Processing speed

The tables below list typical compression/decompression values reachable with LZPJ. Tests were done on a Cortex-M7 MCU running at 200 MHz, using internal RAM. The input/output buffer sizes were each 64 KB.

Compression on the target was performed with `CX_LZSS_HASH_TABLE_SIZE = 0`, `CX_LZSS_ENCODE_LARGE_WINDOW = 0`. *Ratio* denotes the compression rate, *rate* denotes the achieved input data rate, *memory* denotes the amount of dynamic memory required.

LZPJ compression on the target				
Window size	Optimization = 0 Ratio / Rate [kB/s]	Optimization = 2 Ratio / Rate [kB/s]	Optimization = 10 Ratio / Rate [kB/s]	Memory [kB]
256	82.9% / 594.2	82.9% / 594.2	74.8% / 434.9	2.2
512	80.4% / 603.6	75.8% / 586.1	69.0% / 353.6	3.0
1024	79.2% / 609.8	68.2% / 519.8	64.4% / 250.2	4.5
2048	73.1% / 596.1	63.1% / 412.9	60.5% / 159.0	7.6
4096	65.6% / 512.4	59.3% / 291.7	57.4% / 90.1	13.7
8192	61.3% / 394.2	56.6% / 190.3	55.1% / 47.7	26.0
16384	58.7% / 274.0	55.0% / 118.9	53.9% / 36.9	51.0

32768	56.8% / 178.9	53.9% / 75.6	53.0% / 24.4	99.8
-------	---------------	--------------	--------------	------

For the decompression tests, compressed data was prepared on the PC with `CX_LZSS_HASH_TABLE_SIZE = 1`, `CX_LZSS_ENCODE_LARGE_WINDOW = 1`. Parameters: Optimization = 10, default values for minimum and maximum match lengths.

LZPJ decompression on the target				
Window size	Ratio	Input rate [kB/s]	Output rate [kB/s]	Dynamic memory [kB]
256	74.8%	945.9	1265.2	0.3
512	69.0%	942.3	1366.0	0.6
1024	64.4%	943.8	1466.4	1.1
2048	60.5%	948.0	1567.3	2.1
4096	57.4%	954.3	1663.0	4.2
8192	55.1%	962.0	1746.3	8.3
16384	53.9%	973.6	1807.3	16.5
32768	53.0%	989.1	1867.2	32.9

## 5.4 LZMA algorithm

### Encoding sizes

The LZMA encoder requires approximately 4.2 kB of ROM when using Arm Thumb-2 instructions.

The following are the RAM requirements for the LZMA encoder:

Parameter	Allowed range
Window size (WS)	1 to 16777216
Maximum match length (MML)	2 to 273
Literal context (LC)	0 to 8
Literal position (LP)	0 to 4
Position bits (PB)	0 to 4

Description	Formula
LZSS total	$HTS * WIS + (WS + MML + 2) * (WIS + 1)$
LZMA context	2608
Range encoder	$1536 * 2^{(LC + LP)} + 24 * 2^{PB}$
Total	$2608 + 1536 * 2^{(LC + LP)} + 24 * 2^{PB} + HTS * WIS + (WS + MML + 2) * (WIS + 1)$

Example: WS = 32768, MML = 273, HTS = 256, WIS = 2, LC = 3, LP = 0, PB = 2

Memory consumption =  $2608 + 1536 * 2^{(3 + 0)} + 24 * 2^2 + 256 * 2 + (32768 + 273 + 2) * (2 + 1) = 114636$

### Decoding sizes

The LZMA decoder requires approximately 2.5 kB of ROM when using Arm Thumb-2 instructions.

The following are the RAM requirements for the LZMA decoder:

Description	Formula
LZSS window	$(WS + 1)$
LZMA context	2248
LZMA LC + LP probabilities	$1536 * 2^{(LC + LP)}$
LZMA PB probabilities	$160 * 2^{PB}$
Total	$2248 + 1536 * 2^{(LC + LP)} + 160 * 2^{PB} + (WS + 1)$

Example: WS = 32768, LC = 3, LP = 0, PB = 2

Memory consumption =  $2248 + 1536 * 2^{(3 + 0)} + 160 * 2^2 + (32768 + 1) = 47945$

### Processing speed

The tables below list typical compression/decompression values reachable with LZPJ. Tests were done on a Cortex-M7 MCU running at 200 MHz, using internal RAM. The input/output buffer sizes were each 64 KB.

Compression on the target was performed with `CX_LZSS_HASH_TABLE_SIZE = 0`, `CX_LZSS_ENCODE_LARGE_WINDOW = 0`. Further parameters: LC = 3, LP = 0, PB = 2. *Ratio* denotes the compression rate, *rate* denotes the achieved input data rate, *memory* denotes the amount of dynamic memory required.

LZMA compression on the target
--------------------------------

Window size	Optimization = 0 Ratio / Rate [kB/s]	Optimization = 2 Ratio / Rate [kB/s]	Optimization = 10 Ratio / Rate [kB/s]	Memory [kB]
256	53.9% / 365.6	53.9% / 365.6	51.4% / 270.8	17.1
512	52.9% / 367.3	50.7% / 340.9	49.1% / 217.9	17.9
1024	52.2% / 368.7	48.2% / 300.7	47.0% / 155.7	19.5
2048	48.6% / 340.9	45.9% / 245.7	45.0% / 101.3	22.5
4096	46.0% / 296.6	43.9% / 181.4	43.2% / 59.0	28.7
8192	44.0% / 237.0	42.3% / 122.0	41.7% / 31.3	41.0
16384	42.6% / 171.6	41.2% / 77.0	40.8% / 21.4	65.5
32768	41.4% / 114.9	40.3% / 49.0	39.9% / 22.5	114.7

For the decompression tests, compressed data was prepared on the PC with `CX_LZSS_HASH_TABLE_SIZE = 1`, `CX_LZSS_ENCODE_LARGE_WINDOW = 1`. Parameters: Optimization = 10, LC = 3, LP = 0, PB = 2, default values for minimum and maximum match lengths.

LZMA decompression on the target				
Window size	Ratio	Input rate [kB/s]	Output rate [kB/s]	Dynamic memory [kB]
256	51.4%	440.7	857.7	15.5
512	49.1%	449.2	915.2	15.7
1024	47.0%	457.6	973.2	16.3
2048	45.0%	463.3	1029.4	17.3
4096	43.2%	468.4	1085.0	19.3
8192	41.7%	474.5	1137.6	23.4
16384	40.8%	480.5	1178.2	31.6
32768	39.9%	485.6	1215.5	48.0
65536	39.5%	489.4	1240.1	80.8

# Chapter 6

## Utilities

---

This section presents the utilities shipped with emCompress-Pro:

- `CX_Optimize`, a utility to benchmark the compression achieved by algorithms for a particular input.
- `CX_Tool`, a utility to compress and decompress files.

## 6.1 Compression algorithm comparison

emCompress-Pro ships with an application which compares the compression performance of included algorithms, `CX_Optimize`.

### 6.1.1 Command line and options

The emCompress-Pro example compression application accepts the command line options described in the following sections.

The command line syntax is:

```
CX_Optimize [options] <inputfile>
```

#### Options

Option	Description
<code>--help</code>	Displays the help message.
Common options	
<code>--algo=&lt;algo&gt;</code>	Determine optimum for <code>&lt;algo&gt;</code> . Default: <code>all</code> , other allowed values: <code>deflate</code> , <code>lmza</code> , <code>lzpj</code> , <code>smash2</code> .
<code>--ws=&lt;win-size&gt;</code>	Use a window size of <code>&lt;win-size&gt;</code> bytes
<code>--wsmin=&lt;min-size&gt;</code>	Start iteration over window sizes at <code>&lt;min-size&gt;</code> .
<code>--wsmax=&lt;max-size&gt;</code>	Stop iteration over window sizes at <code>&lt;max-size&gt;</code> .
SMASH-2 options	
<code>--fw=auto</code>	Find the optimum option for the instruction set.
<code>--fw=16</code>	Assume program code using 16-bit instruction set.
<code>--fw=32</code>	Assume program code using 32-bit instruction set.
DEFLATE options	
<code>--block-size=&lt;block-size&gt;</code>	Set the block size. Default: 32768
LZMA options	
<code>--lcmin=&lt;lc-min&gt;</code>	Set the minimum value of LC to try.
<code>--lcmax=&lt;lc-max&gt;</code>	Set the maximum value of LC to try.
<code>--lpmin=&lt;lp-min&gt;</code>	Set the minimum value of LP to try.
<code>--lpmax=&lt;lp-max&gt;</code>	Set the maximum value of LP to try.
<code>--pbmin=&lt;pb-min&gt;</code>	Set the minimum value of PB to try.
<code>--pbmax=&lt;pb-max&gt;</code>	Set the maximum value of PB to try.
<code>--xz-compat</code>	Set XZ-tool compatibility mode ( $LC + LP \leq 4$ ).
Conditioning options	
<code>--t32</code>	Use Arm T32 conditioner.
<code>--a32</code>	Use Arm A32 conditioner.
<code>--a64</code>	Use Arm A64 conditioner.
<code>--rv32</code>	Use RISC-V RV32 conditioner.
<code>--ia32</code>	Use Intel IA-32 conditioner.

### 6.1.2 Using the CX\_Optimize tool

The `CX_Optimize` tool, when called with only a filename as parameter, lists the compression efficiencies for all algorithms. It iterates over a range of common window sizes. Results are only listed for those algorithms for which the window size is valid.

```

File:          .\Firmware.input
Input size:    218824 bytes
Conditioner:   None
SMASH-2 flags: None
DEFLATE flags: Block size: 32768
LZMA flags:   LC: 3, LP: 0, PB: 2

```

Window	SMASH2	LZPJ	DEFLATE	LZMA
256	104648 47.8% I=0	131504 60.1%	100288 45.8%	83938 38.4% LC=3, LP=0, PB=2
512	94988 43.4% I=0	120095 54.9%	93314 42.6%	78642 35.9% LC=3, LP=0, PB=2
1024	89468 40.9% I=0	113406 51.8%	88948 40.6%	75197 34.4% LC=3, LP=0, PB=2
2048	86497 39.5% I=0	109440 50.0%	86270 39.4%	73499 33.6% LC=3, LP=0, PB=2
4096	84572 38.6% I=0	106652 48.7%	84440 38.6%	72072 32.9% LC=3, LP=0, PB=2
8192	83197 38.0% I=0	104235 47.6%	83080 38.0%	70798 32.4% LC=3, LP=0, PB=2
16384	82755 37.8% I=0	102896 47.0%	82516 37.7%	70009 32.0% LC=3, LP=0, PB=2
32768	-	102401 46.8%	82371 37.6%	69460 31.7% LC=3, LP=0, PB=2
65260	-	-	-	69072 31.6% LC=3, LP=0, PB=2

### 6.1.2.1 Optimizing SMASH-2 compression

The `CX_Optimize` tool can optimize compression using the SMASH-2 algorithm by using an optimization which is specific to the width of the employed instruction set. By specifying `--fw=auto`, the tool will determine the optimum setting automatically. Below, an invocation with `--fw=auto` determines that the file is best compressed with an optimization for 32-bit wide instructions:

```
CX_Optimize --algo=smash2 --fw=auto ./Firmware.input
```

```

File:          .\Firmware.input
Input size:    218824 bytes
Conditioner:   None
SMASH-2 flags: Try all (I=0: none, I=1: 16-bit, I=2: 32-bit)

```

Window	SMASH2
256	101407 46.3% I=2
512	92305 42.2% I=2
1024	87250 39.9% I=2
2048	84655 38.7% I=2
4096	82984 37.9% I=2
8192	81701 37.3% I=2
16384	81466 37.2% I=2
32768	-
65260	-

### 6.1.2.2 Optimizing LZMA compression

LZMA compression can be optimized by adjusting the LC, LP and PB parameters. The default value of LC = 3 is well suited for text, since it chooses the probability trackers based on the upper three bits of the character. This means that the probability trackers can adapt to different probabilities of characters following lower- and upper-case characters. For firmware code, setting LP = 2 can lead to better results, since the probability trackers will then be based on the position of the current byte instead.

If compatibility with the XZ tool of the LZMA suite is desired, the `--xz-compat` parameter should be specified to restrict the sum of LC and LP to the range allowed by the XZ tool.

Invoking the `CX_Optimize` tool on the 32-bit instruction set firmware image used above, the best setting for the LP parameter is determined to be 2:

```
CX_Optimize --algo=lzma --lcmin=0 --lcmax=4 --lpmin=0 --lpmax=4 --xz-compat --pbmin=0 --pbmax=4 --wsmin=8192 --wsmax=32768 ./Firmware.input
```

```

File:          .\Firmware.input
Input size:    218824 bytes
Conditioner:   None
LZMA flags:    LC: 0 - 4, LP: 0 - 4, PB: 0 - 4

Window        LZMA
-----
      8192      68724  31.4% LC=1,LP=2,PB=2
     16384      67966  31.1% LC=1,LP=2,PB=2
     32768      67435  30.8% LC=1,LP=2,PB=2

```

When running `CX_Optimize` on a 16-bit firmware image, the best setting for LP is determined to be 1:

```

File:          .\FirmwareThumb2.input
Input size:    104768 bytes
Conditioner:   None
LZMA flags:    LC: 0 - 4, LP: 0 - 4, PB: 0 - 4

Window        LZMA
-----
      8192      71014  67.8% LC=3,LP=1,PB=1
     16384      70514  67.3% LC=3,LP=1,PB=1
     32768      69923  66.7% LC=3,LP=1,PB=1

```

Applying the same command to an HTML file determines the following optimum settings:

```

File:          .\HTML.input
Input size:    324751 bytes
Conditioner:   None
LZMA flags:    LC: 0 - 4, LP: 0 - 4, PB: 0 - 4

Window        LZMA
-----
      8192      40007  12.3% LC=4,LP=0,PB=0
     16384      38819  12.0% LC=4,LP=0,PB=0
     32768      37796  11.6% LC=4,LP=0,PB=0

```

## 6.2 Compression/decompression utility

This section describes the compression/decompression utility which ships with emCompress-Pro, `CX_Tool`.

### 6.2.1 Command line and options

The command line syntax is:

```
CX_Tool [options] inputfile outputfile
```

#### Options

Option	Description
<code>--help</code>	Displays the help message.
<code>-c &lt;algo&gt;</code>	Compress using <code>&lt;algo&gt;</code> : deflate, lzma, lzpj or smash2.
<code>-d &lt;algo&gt;</code>	Decompress using <code>&lt;algo&gt;</code> : deflate, lzma, lzpj or smash2.
Common options	
<code>--ws=&lt;win-size&gt;</code>	Use a window size of <code>&lt;win-size&gt;</code> bytes.
<code>--opt=&lt;opt&gt;</code>	Set optimization level (0-10), default: 10.
<code>--minlen=&lt;min-len&gt;</code>	Set minimum match length.
<code>--maxlen=&lt;max-len&gt;</code>	Set maximum match length.
SMASH-2 options	
<code>--isa=auto</code>	Find the optimum option for the instruction set.
<code>--isa=1</code>	Assume program code using 16-bit instruction set.
<code>--isa=2</code>	Assume program code using 32-bit instruction set.
DEFLATE options	
<code>--block-size=&lt;block-size&gt;</code>	Set the block size. Default: 32768
LZMA options	
<code>--lc=&lt;lc&gt;</code>	Set the value of LC.
<code>--lp=&lt;lp&gt;</code>	Set the value of LP.
<code>--pb=&lt;pb&gt;</code>	Set the value of PB.

### 6.2.2 Using the `CX_Tool` utility

This section provides examples of usage of the `CX_Tool` utility.

The following command compresses the file `Firmware.input` using the LZMA algorithm and stores it in the file `Firmware.lzma`:

```
CX_Tool -c lzma --lc=1 --lp=2 --pb=2 --ws=32768 --opt=10
Firmware.input Firmware.lzma
```

The following command decompresses the file which was compressed above:

```
CX_Tool -d lzma --lc=1 --lp=2 --pb=2 --ws=32768
Firmware.lzma Firmware.output
```

# Chapter 7

## Glossary

---

### **Bitstream**

A sequence of bits read on bit-by-bit basis.

### **Codec**

*Coder-decoder.* A device or algorithm capable of coding or decoding a digital data stream. A lossless compressor and decompressor combination constitutes a codec.

### **Compressor**

An algorithm that attempts to find redundancy in data and remove that redundancy thereby compressing the data to use fewer bits.

### **Decompressor**

An algorithm that reverses the effect of compression and recovers the original data from the encoded bitstream.

### **ISA**

*Instruction Set Architecture.* Defines the instruction set, registers and memory access models which an MCU supports.

### **KB**

*Kilobyte.* Defined as either 1,024 or 1,000 bytes by context. In the microcontroller world and this manual it is understood to be 1,024 bytes and is routinely shortened further to "K" when describing microcontroller RAM or flash sizes.

### **LZMA**

*Lempel-Ziv-Markov chain algorithm.* A compression algorithm which combines LZSS with a sophisticated range encoder.

### **LZPJ**

*Lempel-Ziv "Plain Jane" algorithm.* An algorithm which provides a bitstream to encode LZSS compressed data.

### **LZSS**

*Lempel-Ziv-Storer-Szymanski.* A compression scheme that is based on LZ77.

### **SMASH**

*Small Microcontroller Advanced Super-High format.* SEGGER's proprietary format for compressing data.

### **XZ tool**

A tool which is part of the XZ Utils which can handle LZMA compression/decompression. Website: <https://tukaani.org/xz/>

# Chapter 8

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